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PATENT  
Attorney Docket No. 3070

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LUCOVSKY et al.

Group Art Unit: 2176

Serial No. 10/017,680

Examiner: Nguyen, Maikhanh

Filed: October 22, 2001

For: Schema-Based Services for Identity-Based  
Data Access

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Supplemental Information Disclosure Statement is being filed after the mailing of the first Office Action on the merits, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below). Accordingly, no fee is owed by applicant. If, however, a fee is due, the Patent Office is hereby authorized to charge any fee associated with this submission to Deposit Account 50-1618.

### CERTIFICATION

The undersigned attorney hereby certifies that no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent

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office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.



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CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, cited references, and PTO form 1449 are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date: January 20, 2005

By:

Albert S. Michalik

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3070	SERIAL NO. 10/017,680
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT LUCOVSKY et al.	
(Use several sheets if necessary)		FILING DATE October 22, 2001	GROUP 2176

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**